

CLARKE

NATIONAL INSTRUMENT 24-101 *TRADE MATCHING and SETTLEMENT*
TRADE ENTRY and MATCHING STATEMENT

To: All parties facilitating trade orders and related custody to, or acting on behalf of, or executing a trade with:

Clarke Inc.; Clarke Opportunities Inc.

Without warranty, we confirm that we have established and maintain processes for ensuring trade orders and related settlement instructions for CDS eligible securities are communicated to our broker/dealer(s) and our custodian(s) in the most expeditious manner possible. While we are not subject to National Instrument 24-101, our firm is providing this statement and processing our trades on a best efforts basis to assist our broker/dealer(s) and / or our custodian(s) achieve compliance.



Rob Normandeau
Chief Operating Officer
Clarke Inc.